



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66471

Masahiro ONO, et al.

Appln. No.: 09/966,108

Group Art Unit: 2651

Confirmation No.: 4741

Examiner: NOT YET ASSIGNED

Filed: October 01, 2001

CODED DATA TRANSFER CONTROL METHOD AND STORAGE AND

REPRODUCTION SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

RECEIVED

Commissioner for Patents

JUL 0 6 2004

P.O. Box 1450 Alexandria, VA 22313-1450

Technology Center 2600

Sir:

For:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/966,108

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 41,278

Grant K. Rowan

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: July 2, 2004

2

Substitute for Form 1440 A & DITTO	Complete if Known		
Substitute for Form 1449 A & B/PTO	Application Number	09/966,108	
TO E CENTE OR MATION DISCLOSURE	Confirmation Number	4741	
STATEMENT BY APPLICANT	Filing Date	October 1, 2001	
STATEMENT BY APPLICANT (use as many sheets as necessary)	First Named Inventor	Masahiro ONO	
	Art Unit	2651	
, at the second	Examiner Name	NOT YET ASSIGNED	
Wr a roughtet 1 of 1	Attorney Docket Number	Q66471	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 6,011,869		1/4/00	Ohta et al.
		US 5,479.303		12/26/95	Suzuki et al.
		US			RECEIVED
		US			ILCLIVED
		US			
		US			JUL 0 6 2004
		US			
		US			Technology Center 2600
		US	<u> </u>		3,

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date	Name of Patentee or	Translation ⁶	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation
		PCT	WO 99/65239		12/16/99	Koninklijke Philips Electronics	Abstract

Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		Rangan P V et al "Continuity and Synchronization in MPEG" IEEE Journal on Selected Areas in Communications, vol. 14, no. 1, 1996, pgs. 52-60	Abstract		
· ·		G J Lu, H K Pung and T S Chua "Mechanisms of MPEG Stream Synchronization" Computer Communication Review, Association for Computing Machinery, New York, vol. 24 no. 1, 1994 pgs. 57-67	abstract		

1		
Examiner Signature	Date Considered	
		-

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.